

BALTIMORE CITY ETHICS BOARD

626 City Hall

Baltimore, Maryland 21202

Phone: 410-396-4730 Fax: 410-396-8483

<http://www.baltimorecity.gov/Government/BoardsandCommissions/EthicsBoard.aspx>

LATE FEE: \$2/DAY

**IMPORTANT:
CAREFULLY READ
ACCOMPANYING DIRECTIONS**

**FINANCIAL DISCLOSURE STATEMENT
FOR
OFFICIALS AND EMPLOYEES GENERALLY**

NOTE: *Bold-italicized terms* are defined in the accompanying Financial Disclosure Directions, which should be reviewed carefully before completing this Statement.

PART A. IDENTITY OF STATEMENT MAKER

All filers:

Last Name **KRAFT** First and Middle Names **JAMES BERNARD**
Principal Residence **26 [REDACTED] E. BALTIMORE STREET**
BALTIMORE, MD 21224
Residence Telephone: [REDACTED]

All filers *except* candidates for elected office:

Agency (Dep't, Division, Bureau) **BALTIMORE CITY COUNCIL**
Position with Agency **COUNCIL MEMBER - FIRST DISTRICT**
Office Address **ROOM 503 - CITY HALL**
100 N. HOLLIDAY STREET
BALTIMORE, MD 21202
Office Telephone **(410) 396-4821** Email Address: **James.Kraft@baltimorecity.gov**

Candidates for elected office:

Office Sought _____

PART B. TYPE OF STATEMENT/REPORTING PERIOD COVERED

All filers must check the applicable type of Statement and specify the year for which it is filed:

☒ **Annual Statement** ☐ **Entry Statement** ☐ **Departure Statement** ☐ **Candidate's Statement**

For Calendar Year 20**11**

Persons filing a Departure Statement must also complete the following {see *Directions at Part III(c)(2)*}:

This Statement also covers the period of January 1, 20__ through ____, 20__.

PART C. RECEIPT BY ETHICS BOARD

NOTE: To be completed only by Ethics Board.

This Statement and accompanying Schedules were received for filing on **4/14**, 20**12**


For Board of Ethics

PART D. DISCLOSURES

1. INTERESTS IN REAL PROPERTY

During the *reporting period* covered by this Statement, did any of the following have any *interest* in any real property (including property purchased or leased as your or their personal residence), whether located in or outside Baltimore City?

If you answer "yes" to any of these, complete and attach **Schedule 1**.

a. You

☒ Yes ☐ No

b. A *family member* (if you directly or indirectly controlled that *family member's interest*)

☐ Yes ☒ No

c. An *attributable entity*

☐ Yes ☒ No

d. A partnership, limited liability partnership, limited liability company, or other unincorporated entity in which you, a *family member* (if you directly or indirectly controlled that *family member's interest*), or an *attributable entity* held an interest

☐ Yes ☒ No

2. INTERESTS IN BUSINESS ENTITIES

During the *reporting period* covered by this Statement, did any of the following have any *interest* in any *business entity*?

If you answer "yes" to any of these, complete and attach **Schedule 2**.

a. You

☒ Yes ☐ No

b. A *family member* (if you directly or indirectly controlled that *family member's interest*)

☐ Yes ☒ No

c. An *attributable entity*

☒ Yes ☐ No

3. POSITIONS WITH *BUSINESS ENTITIES DOING BUSINESS WITH CITY*

During the *reporting period* covered by this Statement, did any of the following hold an office, directorship, salaried employment, or similar position with any *business entity* that does *business with the City* {or is regulated by or lobbies before the *City*}?

If you answer "yes" to any of these, complete and attach **Schedule 3**.

a. You

☐ Yes ☒ No

b. Your spouse or child

☐ Yes ☒ No

c. Your parent or sibling (to the extent known to you)

☐ Yes ☒ No

4. GIFTS (INCLUDING TRAVEL EXPENSES) FROM *PERSONS DOING BUSINESS WITH CITY*

During the *reporting period* covered by this Statement, did any of the following accept, directly or indirectly, any *significant gift* (including payment of travel expenses) from any *person* that (i) does *business with the City* {or is regulated by or lobbies before the *City*} or (ii) is an owner, partner, officer, director, trustee, employee, or agent of any *person* that does *business with the City* {or that is regulated by or lobbies before the *City*}?

If you answer "yes" to any of these, complete and attach **Schedule 4**.

a. You

☐ Yes ☒ No

b. A *family member* or other *person* at your direction

☐ Yes ☒ No

5. DEBTS TO *PERSONS DOING BUSINESS WITH CITY*

During the *reporting period* covered by this Statement, were any of the following indebted to any *person* that does *business with the City* {or is regulated by or lobbies before the *City*}?

Note: The following debts need not be reported: (i) utility accounts (e.g., telephone, gas, or electric accounts); or (ii) retail credit or installment sales accounts (e.g., credit card purchases or advances; car or appliance financing through dealer or established lender).

If you answer "yes" to any of these, complete and attach **Schedule 5**.

a. You

☐ Yes ☒ No

b. A *family member* (if you were involved in the transaction giving rise to the debt)

☐ Yes ☒ No

6. FAMILY MEMBERS EMPLOYED BY CITY

During the *reporting period* covered by this Statement, were any of the following employed by the *City*?

If you answer "yes" to any of these, complete and attach **Schedule 6**.

a. Your spouse or child

☐ Yes ☒ No

b. Your parent or sibling

☐ Yes ☒ No

7. OTHER SOURCES OF EARNED INCOME

During the *reporting period* covered by this Statement, were any of the following (i) a compensated employee of someone other than the *City*; (ii) an owner (sole or partial) of a *business entity*; or (iii) a recipient of earned income from a *business entity*?

If you answer "yes" to any of these, complete and attach **Schedule 7**.

a. You

☒ Yes ☐ No

b. Your spouse or child

☐ Yes ☒ No

8. ADDITIONAL INFORMATION

Is there any other interest or information that you would like to disclose?

If you answer "yes", complete and attach **Schedule 8**.

☒ Yes ☐ No

PART E. SIGNATURE AND AFFIRMATION

I, **JAMES B. KRAFT**, solemnly affirm under the penalties of perjury that the contents of this Statement and of all accompanying Schedules are true to the best of my knowledge, information, and belief.

James B. Kraft
(Signature)

PART F. NOTARIZATION

STATE OF MARYLAND

CITY/~~XXXXXX~~ OF **BALTIMORE**

I CERTIFY that, on this 11th day of **MARCH**, 2012 before me, a Notary Public in and for the City/~~XXXX~~ of **BALTIMORE**, personally appeared **JAMES B. KRAFT**, who acknowledged that this Statement, the accompanying Schedules, and the preceding Affirmation were all his/her act.

AS WITNESS, my hand and Notarial Seal:



Tammy L. Kraft
(Notary Public)

My Commission Expires: **3-23-14**

SCHEDULE I
INTERESTS IN REAL PROPERTY

NOTE: For more than one property,
make additional copies of this Schedule.

1. LOCATION AND TYPE OF PROPERTY

Address or Legal Description: 2 [REDACTED] E. BALTIMORE STREET
BALTIMORE, MD 21224

Type of Property:

☒ Improved ☐ Unimproved
☐ Residential ☐ Commercial

Other (explain): _____

2. HOLDER OF INTEREST

Name: JAMES B. KRAFT

Relationship to Statement Maker:

☒ Self ☐ Spouse ☐ Child ☐ Parent ☐ Sibling ☐ *Attributable Entity*
☐ Unincorporated entity in which one of above held an *interest*

Address: SAME

3. NATURE OF INTEREST

Type of *interest*:

☒ Fee simple ☐ Life Estate ☐ Leasehold Other (explain): _____

How held:

☒ Solely held ☐ Jointly held*

*If jointly held, state % of interest: _____

Name:

Address:

Name:

Address:

5. CONDITIONS OR ENCUMBRANCES ON *INTEREST*

Describe the terms of any conditions or encumbrances on the *interest* and identify all parties involved:

FIRST MORTGAGE: WELLS FARGO

HOME EQUITY LINE: WELLS FARGO

6. HOW *INTEREST* ACQUIRED

Person From Whom Interest Acquired:

Name: **JUSTIN W. LAUPERT et ux**

Address: **MONKTON, MD**

Date Acquired: **01-20-2004**

Manner of Acquisition:

☒ Purchase ☐ Gift ☐ Inheritance

Other (explain):

If Acquired by Purchase:

Nature and dollar amount (or value) of consideration paid for *interest*: **\$263,500**

If Acquired Other Than by Purchase:

Fair market value of *interest* when acquired: \$

7. TRANSFERS

If all or any part of the *interest* was transferred to another during the period covered by the Statement —

Person to Whom Interest Transferred:

Name: _____

Address: _____

Nature and amount of the *interest* transferred: _____

Nature and dollar amount (or value) of consideration received for the *interest*: _____

SCHEDULE 2
INTERESTS IN BUSINESS ENTITIES

NOTE: For more than one business entity,
make additional copies of this Schedule.

1. IDENTITY OF BUSINESS ENTITY

Name: LAW OFFICES OF JAMES B. KRAFT
Address of Principal Office: 2614 E. BALTIMORE STREET
BALTIMORE, MD 21224

2. HOLDER OF INTEREST

Name: JAMES B. KRAFT
Relationship to Statement Maker:
☒ Self ☐ Spouse ☐ Child ☐ Parent ☐ Sibling ☐ Attributable Entity
Address: SAME

3. NATURE AND AMOUNT OF INTEREST

Type of *interest*:

☒ Sole proprietor ☐ General Partner ☐ Limited Partner ☐ Joint Venturer
☐ Trust Beneficiary ☐ Trustor ☐ Reversionary Trust Interest
☐ Stockholder ☐ Other (explain): _____

Amount of *interest*:

For a non-equity *interest* (e.g., notes or bonds) in any *business entity*, indicate –
dollar value of the *interest* : \$ _____

For an equity *interest* in a publicly traded corporation, specify *either* –
dollar value of the *interest* : \$ _____ *or*
number of shares owned: _____

For an equity *interest* in a non-publicly traded corporation or other *business entity*, specify –

either –

dollar value of the *interest*: \$_____ or

both –

number of shares/ownership units owned: _____ and

percentage of company ownership represented by the *interest*: _____%

4. CONDITIONS OR ENCUMBRANCES

Describe the terms of any conditions or encumbrances on the *interest* and identify all parties involved:

NONE

5. HOW *INTEREST* ACQUIRED

Note: Complete the following if the *interest* was acquired during the period covered by this Statement.

{*Exception:* If the *interest* (i) was acquired by dividend, (ii) consists solely of additions to existing publicly corporate interests, and (iii) has a value of less than \$500, you need only complete the item below labeled "Manner of Acquisition".}

Person From Whom Interest Acquired:

Name: _____

Address: _____

Date Acquired: _____

Manner of Acquisition:

☐ Purchase ☐ Gift ☐ Inheritance

Other (explain): _____

If Acquired by Purchase:

Nature and dollar amount (or value) of consideration paid for *interest*: _____

If Acquired Other Than by Purchase:

Fair market value of *interest* when acquired: \$_____.

6. TRANSFERS

If all or any part of the *interest* was transferred to another during the period covered by the Statement -

Person to Whom Interest Transferred:

Name: _____

Address: _____

Nature and amount of the *interest* transferred: _____

Nature and dollar amount (or value) of consideration received for the *interest*: _____

1. STATEMENT MAKER

Name of Statement Maker: JAMES B. KRAFT
Business Entity's Name and Address: LAW OFFICES OF JAMES B. KRAFT
2614 E. BALTIMORE STREET
BALTIMORE, MD 21224
Title and Nature of Position: SOLE PROPRIETOR - OWNER

2. SPOUSE

Name of Spouse: _____
Business Entity's Name and Address: _____

Title and Nature of Position: _____

3. CHILD

Name of Child: _____
Business Entity's Name and Address: _____

Title and Nature of Position: _____

4. CHILD

Name of Child: _____
Business Entity's Name and Address: _____

Title and Nature of Position: _____

5. CHILD

Name of Child: _____

Business Entity's Name and Address: _____

Title and Nature of Position: _____

1) I DID ATTEND EVENTS TO WHICH ALL COUNCIL MEMBERS WERE INVITED. I RECEIVED TICKETS FOR SOME OF THESE EVENT, ALTHOUGH NOT ALL. ON OCCASION I HAD MEMBERS OF MY STAFF ATTEND ON MY BEHALF.

2) EITHER I OR MEMBERS OF MY STAFF HAVE ATTENDED EVENTS IN MY DISTRICT TO WHICH I WAS INVITED DUE TO MY POSITION.